

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) Yuliya Akulova

Michael Geva

Abdullah Ougazzaden

Case

3-12-10

Serial No.

09/645913

Filing Date 08/25/2000

Examiner

Michelle Estrada

**Group Art Unit 2823** 

Title

Dopant Diffusion Barrier Layer and Method of Manufacture

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

## REVOCATION OF POWER OF ATTORNEY AND APPOINTMENT OF ASSOCIATE ATTORNEY

I hereby revoke all previous powers of attorney or authorization of agent given in the above-identified application. Please recognize the following as an associate attorney in the above-mentioned application, with full power to prosecute said application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

Firm Name: Lester H. Birnbaum

Reg. No. 25,830

Address:

6308 Sauterne Drive

Macungie, PA 18062

Telephone:

(610) 530-9166

FAX: (610) 530-2695

I represent the assignee of record of the entire interest who is entitled to prosecute this application to the exclusion of the named inventors or the previous assignee pursuant to 37 CFR 3.71. A statement under 37 CFR 3.73(b) is enclosed.

Respectfully,

Raymond A. Link

Vice President and Chief Financial Officer

TriQuint Technology Holding Co.

Date: 2 (17/03
TriQuint Technology Holding Co. 2300 NE Brookwood Parkway
Hillsboro, OR 97124

204636-1

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

#### STATEMENT UNDER 37 CFR 3.73(b)

Applica	nt/Patent O	wner:	TriQui	int Technology Holdin	g Co.		
Applica	tion No./Pa	itent No.: <u>09/645</u>	913	Filed/Iss	ued Date: <u>8/25/2000</u>		<del>- 111-1</del>
Entitled	l: Dopant [	Diffusion Barrier_L	ayer and Method o	f Manufacture			
<u> </u>		gy Holding Co.		corporati			
	(Name of A	Assignee)	(Type of Assignee,	e.g., corporation, partnersh	ip, university, governmen	it agency, etc.)	
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1.	[X] the a	ssignee of the entit	the entire right titl	a and interest		•	TEI
2.	į janas:	The extent (by, p	ercentage) of its ow	nership interest is	%	,	善品
In the p	atent applic	cation/patent identi	fied above by virtu	e of either:			18 25 10 GY
A. States P	[] An a Patent and T	ssignment from the rademark Office a	e inventor(s) of the t Reel, Fram	patent application/pate, or for which a cop	ent identified above. by thereof is attached.	The assignment was re	ecorded in the United
OR							. 28
В.	[X] A C	hain of title from t	he inventor(s), of th	ne patent application/p	atent identified above	e, to the current assigne	ee as shown below:
	1.			To:		t	
	2.	The document wa		To:	d Trademark Office a		Corp.
	3.	The document wa	as recorded in the U	ics Guardian Corp.  Jnited States Patent an, or for which a co	d Trademark Office a	t	. <u></u>
	4.	The document wa	s recorded in the U	p. To: Agere Sonited States Patent and, or for which a co	I Trademark Office at	i L	
	5.	From Agere Sys The document wa Reel	s recorded in the U	TriQuint Technological States Patent and property, or for which a control of the	I Trademark Office at		
	[ ] Addi	itional documents	in the chain of title	are listed on a supplen	nental sheet.		
[X] C	[Note: A must be:	A separate copy (i.e	e., the original assignment Division in	chain of title are attached comment document or a accordance with 37 CF	true copy of the origin	nal document) nment is to be recorded	in the records of the
The und	dersigned (v	whose title is suppl	lied below) is author	orized to act on behalf	of the assignee.		
	3 (	14/03			Lester H.	Birnbaum Transl or printed port	
		Date			Leit	Typed or printed name	<del></del>
						Signature	
						Attorney Title	



#### PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS OPTOELECTRONICS GUARDIAN CORP.

Dated as of January 30, 2001



#### PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Optoelectronics Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Optoelectronics Guardian").

#### RECITALS

- A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;
- B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;
- C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Optoelectronics Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver to Agere Systems Optoelectronics Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Optoelectronics Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Optoelectronics Guardian Corp.).

ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and

Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Optoelectronics Guardian, but at Agere Systems Optoelectronics Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Optoelectronics Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Optoelectronics Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

Daniel P. McCurdy

President, Intellectual Property Business

AGERE SYSTEMS OPTOELECTRONICS GUARDIAN CORP.

Fred M. Romano

President

#### **ACKNOWLEDGMENTS**

STATE OF NEW JERSEY)	
COUNTY OF SOMERSET)	
personally came before me and this person acknown a.) this person signed, sealed and delivered to Intellectual Property Business of Lucent Technolog b.) this Patent Assignment was signed and no voluntary act and deed by virtue of authority from its	the attached Patent Assignment as President - ies Inc.; and hade by Lucent Technologies Inc. as its
	Name Notary Public My Commission Expire AMORA ANNE HANNA [Notarial Seal]  Notary Public of New Jersey Registered in Hunterdon County My Commission Expires March 25, 2002
STATE OF FLORIDA )	, 55
: ss: COUNTY OF ORANGE)	
personally came before me and this person acknowled.  a.) this person signed, sealed and delivered to President of Agere Systems Optoelectrons b.) this Patent Assignment was signed and me Guardian Corp. as its voluntary act and of Directors.	the attached Patent Assignment as Vice
	Name Notary Public My Commission Expires: Notarial Seal]

# APPENDIX B (continued) Transferred Patents

IDS No.	Invent rs	Subject Matter
		Walk-Off End Assembly For Mirror Switching Holographic Grating Fabrication Using A Corner
121529	174334 1 44446	Cube Mirror With A Surface Curvature
121543	Abdallah Ougazzaden; Michael Geva;	Application Of InAIAs Double-Layer To Block
		Dopant Out-Diffusion In III-V Device Fabrication
121912	Mary J Nadeau; Renyi Yang; Craig A Young; Paul Nicholas Pappas; Steven L	Laser Packaging Arrangement
	Moyer; Hong-Tai Man; Rao V	
	Yelamarty	
122060	Christopher Richard Doerr; Robert M Pafchek	Reduced Power Consumption Thermo-Optic Devices
122064		Polarization Independent Wavelength Combiner
	Lawrence Wagner; Neal Henry Thorsten	Distributor
122325	Shachar Richter; Michael Geva;	Dual Polarity Current Amplifier With 14 Decades
	Rafael Nathan Kleiman	Of Amplification And Large Bandwidth
122508	Leonard Jan-Peter Ketelsen; Richard Bendicks Bylsma	Integrated Capacitor For Crosstalk Reduction In Tunable Lasers
122510	Curtis Anthony Jack; John William	Fiber Assembly That Eliminates Optical
	Osenbach	Instabilities Due To Improperly Cured Epoxy
122512	G Jacob Fox; Kevin J Sullivan; Robert Anthony Baron; Mark Bernard	Novel Method For Preventing Gold Or Solder Adhesion During Facet Coating
	Cholewa	
	Lin Huang; Justin Boyd Judkins	Dispersion Compensation Device
122690	Dennis Tak Tong	160 Gbitls Clock Recovery Using Electroabsorftion Modulator-Based Phase-Locked Loop
		Wiodulator-Dased Fliase-Locked Loop
122702	Jane D LeGrange; Susanne Arney;	An Optical Device With PowerMonitoring
	Katherine H Bogart; Avinoam	Component And Process For Making Same
	Kornblit; Linda M Braun; Alexandru Paunescu	
122842	Kishore K Kamath	Active Phase Tuning Of DBR Lasers
122845	Abdallah Ougazzaden; Yuliya	Technique For Thermal Stability Of Hydrogenation
	Anatolyevna Akulova; Kenneth Gerard Glogovsky; Mark S Hybertsen; Charles	In the Passive Devices
	William Lentz	
122846	Robert W Smith	Silica Waveguide Switchback For Integrated Optical Devices
122847	Jin Hong Lim	Passively Output-Flattened Optical Amplifier
	Yongqiang Shi	New Two-Step Ti:LiNb03 Waveguide Fabrication
		Method For Low Coupling Loss And Low Driving Voltage
122849	Yongqiang Shi	Grating Coupler For Surface-Mount Photodetector
		On Lithium Niobate Waveguide and PLCs
122852	2 William E Derbyshire; Dhirendra S	Fiber Amplifier Package
122857	Bora 7 Albert Michael Benzoni	A High Speed Lensless Fiber-to-Photodetector Die
14243		O/E Interface Up to 40Gbps

#### CERTIFICATE OF MERGER

OF

#### AGERE SYSTEMS OPTOELECTRONICS GUARDIAN CORP.

#### WITH AND INTO

#### AGERE SYSTEMS GUARDIAN CORP.

#### UNDER SECTION 251 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE

Pursuant to Section 251(c) of the General Corporation Law of the State of Delaware, Agere Systems Guardian Corp. hereby certifies the following information relating to the merger of Agere Systems Optoelectronics Guardian Corp. with and into Agere Systems Guardian Corp.:

1. The names and states of incorporation of each constituent corporation in the merger are:

Name State of Incorporation

Agere Systems Optoelectronics Guardian Corp.

Delaware

Agere Systems Guardian Corp.

Delaware

- 2. An Agreement and Plan of Merger setting forth the terms and conditions of the merger, has been approved, adopted, certified, executed and acknowledged by each of Agere Systems Optoelectronics Guardian Corp. and Agere Systems Guardian Corp. in accordance with the provisions of Section 251(c) of the General Corporation Law of the State of Delaware.
- 3. The name of the surviving corporation in the merger is Agere Systems Guardian Corp.
- 4. The Certificate of Incorporation of Agere Systems Guardian Corp. shall be the Certificate of Incorporation of the surviving corporation.
- 5. The executed Agreement and Plan of Merger is on file at the principal place of business of Agere Systems Guardian Corp. at Two Oak Way, Berkeley Heights, NJ 07922.
- 6. A copy of the Agreement and Plan of Merger will be furnished by Agere Systems Guardian Corp. on request and without cost, to any stockholder of Agere Systems Guardian Corp. or Agere Systems Optoelectronics Guardian Corp.

- The authorized capital stock of Agere Systems Optoelectronics Guardian
   Corp. is 1,000 shares of common stock, no par value per share.
- 8. The Merger shall be effective as of 9:00 a.m. Eastern Standard Time on August 31, 2001.

IN WITNESS WHEREOF, this Certificate of Merger has been executed on this 23rd day of August 2001.

AGERE SYSTEMS GUARDIAN CORP.

Gerard A. deBlasi Vice President I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"AGERE SYSTEMS OPTOELECTRONICS GUARDIAN CORP.", A DELAWARE CORPORATION,

WITH AND INTO "AGERE SYSTEMS GUARDIAN CORP." UNDER THE NAME OF "AGERE SYSTEMS GUARDIAN CORP.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-FOURTH DAY OF AUGUST, A.D. 2001, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2001, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

Warriet Smith Windson, Secretary of State

3258123 8100M

AUTHENTICATION: 1311649

DATE: 08-24-01

010418909

#### CERTIFICATE OF OWNERSHIP AND MERGER

OF

Agere Systems Guardian Corp. (a Delaware corporation)

INTO

Agere Systems Inc.
(a Delawere corporation)

## UNDER SECTION 253 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), DOES HEREBY CERTIFY:

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

SECOND: On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and into Agere Systems Inc., a Delaware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp."

THIRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

Executed on August 22, 2002

AGERE SYSTEMS INC.

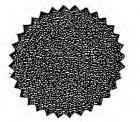
Paul Bento, Vice President

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION, WITE AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor, Secretary of State

3268412 8100M

020545223

AUTHENTICATION: 1959517

DATE: 08-29-02

#### APPENDIX G

#### PATENT APPLICATION ASSIGNMENT

THIS PATENT APPLICATION ASSIGNMENT is made as of January 2, 2003 by AGERE SYSTEMS INC., a Delaware corporation having a principal place of business at 1110 American Parkway, NE, Allentown, Pennsylvania 18109, hereinafter referred to as the ASSIGNOR, and TRIQUINT TECHNOLOGY HOLDING CO., a Delaware corporation with offices at 2300 NE Brookwood Parkway, Hillsboro, OR 97124, hereinafter referred to as the ASSIGNEE.

ASSIGNOR is the owner of certain patent applications identified on Schedule "A" attached hereto (the "ASSIGNED PATENT APPLICATIONS").

ASSIGNEE desires to acquire the entire right, title and interest of ASSIGNOR in and to said ASSIGNED PATENT APPLICATIONS.

For good and valuable consideration paid by the ASSIGNEE, the receipt of which is hereby acknowledged, ASSIGNOR does hereby sell, assign and transfer to the ASSIGNEE, at ASSIGNEE's sole expense, ASSIGNOR's entire right, title and interest in and to the ASSIGNED PATENT APPLICATIONS, in the U.S. and every foreign country, and all patent rights, including extensions or derivations thereof, both foreign and domestic, that may issue on the ASSIGNED PATENT APPLICATIONS. ASSIGNEE hereby authorizes and requests the Commissioner of Patents that all U.S. patents that issue on the ASSIGNED PATENT APPLICATIONS shall issue to ASSIGNEE. This assignment includes assignment to ASSIGNEE of the right to make application in its own behalf for protection of the ASSIGNED PATENT APPLICATIONS and any patents issued on the ASSIGNED PATENT APPLICATIONS, in the U.S. and countries foreign to the U.S., and to claim under the Patent Cooperation Treaty, the International Convention and/or other international arrangement for any such application the date of any earlier U.S. application (or any other application on the invention) to gain priority with respect to other applications. The ASSIGNED PATENT APPLICATIONS and all patents that issue on the ASSIGNED PATENT APPLICATIONS shall be held and enjoyed by the ASSIGNEE, its successors and assigns as fully and entirely as the same would have been held and enjoyed by the ASSIGNOR had this assignment not been made.

ASSIGNOR covenants and agrees to execute such further and confirmatory assignments in recordable form as the ASSIGNEE may require to vest record title of said respective ASSIGNED PATENT APPLICATIONS in ASSIGNEE.

IN WITNESS WHEREOF, the ASSIGNOR has caused this Assignment to be executed by a duly authorized officer.

AGERE SYSTEMS INC.

Name: Gerard A. deBlasi

Title: Vice President

### SCHEDULE A OF PATENT APPLICATION ASSIGNMENT

#### **ASSIGNED PATENT APPLICATIONS**

ASSI	ASSIGNED PATENT APPLICATIONS				
Full Case Name	<u>lds</u>	Country	Patent # / Serial #		
DA Ackeman 25-24-29-44	118172	CHINA	00137088.X		
DA Ackerman 25-24-29-44	118172	JAPAN	2000-396401		
DA Ackerman 25-24-29-44	118172	CANADA	2327981		
DA Ackerman 25-24-29-44	118172	FRANCE	311056.6		
	118172	GERMAN	311056.6		
DA Ackerman 25-24-29-44		Υ			
DA Ackerman 25-24-29-44	118172	GR BRIT	311056.6		
DA Ackerman 25-24-29-44	118172	USA	09/475606		
DA Ackerman 27-15-1-13-11-2	122865	USA	09/668675		
DA Ackerman 27-15-1-13-11-2	122865	FRANCE	1307994.2		
	122865	GERMAN	1307994.2		
DA Ackerman 27-15-1-13-11-2		Y			
DA Ackerman 27-15-1-13-11-2	122865	GR BRIT	1307994.2		
DA Ackerman 27-15-1-13-11-2	122865	JAPAN	2001-288062		
DA Ackerman 29-14-7-11	123914	USA	10/036437		
DA Ackerman 30-29-6-22	123989	USA	10/036397		
DA Ackerman 31-2	123884	USA	10/157452		
T Ahmed 2-6-4-9-27	124063	USA			
YA Akulova 1-4-2	120821	USA	60/175010		
YA Akulova 1-4-2	120821	USA	09/540471		
YA Akulova 1-4-2	120821	KOREA	102001-0000910		
YA Akulova 1-4-2	120821	JAPAN	2001-1383		
YA Akulova 1-4-2	120821	TAIWAN	90100234		
YA Akulova 1-4-2	120821	GR BRIT	100172.6		
YA Akulova 2-14-11-5-7-8	119681	USA	09/539882		
YA Akulova 2-14-11-5-7-8	119681	CANADA	2342518		
YA Akulova 2-14-11-5-7-8	119681	GR BRIT	1303131.5		
YA Akulova 2-14-11-5-7-8	119681	FRANCE	1303131.5		
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YA Akulova 2-14-11-5-7-8	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Y			
YA Akulova 2-14-11-5-7-8	119681	CHINA	1117803.5		
YA Akulova 2-14-11-5-7-8	119681	JAPAN	2001-101591		
YA Akulova 3-12-10	120821	USA	09/645913		
YA Akulova 4-74-10-6-12-3	120340	USA	09/923661		
YA Akulova 5-8-6-11-15	122845	USA	10/125169		
MA Alam 2-3	116221	USA	60/117239		
MA Alam 2-3	116221	FRANCE	1030420		
	116221	GERMAN	60000178.4		
MA Alam 2-3		Υ			
MA Alam 2-3	116221	GR BRIT	1030420		
MA Alam 2-3	116221	KOREA	2000-0003651		
MA Alam 2-3	116221	USA	09/370667		
MA Alam 2-3	116221	JAPAN	2000-015646		
MA Alam 3-6-4-9-9-10-59	119698	FRANCE	1302875.8		
	119698	GERMAN	1302875.8		
MA Alam 3-6-4-9-9-10-59		Υ			